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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/731,620	12/08/2003	Janaki Krishnaswamy	021756-003100US	4734

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TOWNSEND AND TOWNSEND AND CREW LLP  
TWO EMBARCADERO CENTER  
8TH FLOOR  
SAN FRANCISCO, CA 94111-3834

EXAMINER

ONI, OLUBUSOLA

ART UNIT PAPER NUMBER

2168

DATE MAILED: 11/21/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 10/731,620	<b>Applicant(s)</b> KRISHNASWAMY ET AL.	
	<b>Examiner</b> OLUBUSOLA ONI	<b>Art Unit</b> 2168	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 02 October 2006.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-14 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-14 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

**DETAILED ACTION**

1. This action is responsive to communications: filed on 10/02/2006

***Claim Rejections - 35 USC § 102***

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. Claims 1-14 are rejected under 35 U.S.C. 102(e) as being anticipated by Mikhailov et al. (Pat No. 6, 968500) hereinafter "Mikhailov"

Art Unit: 2168

For claim 1 Mikhailov teaches "a client device configured to receive user input and provide a user interface to a user"([Col. 9, lines 59-67, fig. 2&3])

"a database for storing objects corresponding to the object model and metadata describing the object model"([Col.3, lines 19-52,Col. 4, lines 4-36,Col. 5, lines 19-38, Col. 6, lines 44-59, fig. 1&7A])

"a configuration management module for creating a deployable collection of objects using the object model" ([Col. 5, lines 19-38])

"a validation engine for validating metadata in the database, wherein said validation engine is configured to perform completeness validation on a validation subject in response to a user entered command to perform validation on the subject, to automatically perform correctness validation on a validation subject when the subject is created or updated, and to automatically perform completeness and correctness validation on a validation subject when requested by the configuration management module" ([Col.5, lines 39-54, Col. 15, lines 8-58 and fig. 10])

For claim 2, Mikhailov teaches "wherein a validation subject is an instance of one of an attribute, an association, an object and a collection of objects" ([Col. 1, lines 1-31])

For claim 3, Mikhailov teaches "wherein a validation subject is a meta metadata object selected from the group consisting of a MetaAttribute, a MetaAssociation, a MetaAssociationEnd, a MetaClass and a MetaCollection"([Col. 5, lines 39-54, Col. 14, lines 62-64])

For claim 4, Mikhailov teaches “wherein completeness validation includes the application of a completeness validation rule to the validation subject”([Col. 5, lines 39-54, Col. 14, lines 27-46, Col. 15, lines 14-21])

For claim 5, Mikhailov teaches “wherein correctness validation includes the application of a correctness validation rule to the validation subject” ([Col. 15, lines 14-21])

For claim 6, Mikhailov teaches “wherein the validation subject is a deployable collection of objects from the database” ([Col.5, lines 39-54, Col. 9, lines 43-58])

For claim 7, Mikhailov teaches “wherein the validation subject is an aggregated collection of objects from the database”([Col.5, lines 39-54, Col. 9, lines 43-58])

For claim 8, Mikhailov teaches “creating an instance of a metadata object in response to user input” ([Col. 5, lines 19-38]);

“automatically applying one or more correctness type validation rules to the object instance”([Col. 5, lines 39-54, Col. 14, lines 27-46, Col. 15, lines 14-21])

“if a user selects validation of the object instance, applying one or more completeness validation rules to the object instance”([Col. 5, lines 39-54])

Art Unit: 2168

“automatically applying both the one or more correctness validation rules and the one or more completeness validation rules to the object instance prior to deployment of the object instance” ([Col. 5, lines 39-54, Col. 14, lines 27-46, Col. 15, lines 14-21])

For claim 9, this claim is rejected on grounds corresponding to the arguments given above for rejecting claim 2 and is similarly rejected.

For claim 10, Mikhailov teaches “The method of claim 8, wherein the metadata object is an association and wherein the object instance to which a validation rule is applied includes the two objects associated by the association” ([Col. 1, lines 1-31, Col. 5, lines 39-54, Col. 14, lines 62-64])

For claim 11, Mikhailov teaches “ further including automatically applying the one or more correctness type validation rules to the instance if the instance is automatically updated or manually updated” ([Col. 10, lines 29-57])

For claim 12, this claim is rejected on grounds corresponding to the arguments given above for rejecting claim 2 and is similarly rejected.

For claim 13, this claim is rejected on grounds corresponding to the arguments given above for rejecting claim 7 and is similarly rejected.

Art Unit: 2168

For claim 14, this claim is rejected on grounds corresponding to the arguments given above for rejecting claim 8 and is similarly rejected.

### **Responds to Arguments**

5. Applicant's argument filed October 02, 2006 has been fully considered but they are not persuasive. The examiner respectfully traverses applicant's arguments.

As per claim 1, applicant argued that Mikhailov does not teach "validation of metadata in database". On the contrary Mikhailov teaches at Col. 2, lines 54-65, Col. 4, lines 11-20 and fig. 6-10, the form publisher may specify service definitions specifying form logic, instructions for processing form submissions and instructions for periodically generating and delivering reports. However, the forms handling system saves the form logic in association with the database table. The form logic typically includes conditions defining valid data entries for individual data submission fields and conditions defining a valid form submission based on a combination of data entries for several data submission fields.

### **Conclusion**

6. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to OLUBUSOLA ONI whose telephone number is 571-272-2738. The examiner can normally be reached on 7.30-5.00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, TIM VO can be reached on 571-272-3642. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.



Art Unit: 2168

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

A handwritten signature in black ink, appearing to read "Olubusola Oni", with a long horizontal flourish underneath.

OLUBUSOLA ONI  
Examiner  
Art Unit 2168